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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Appln. of: David O'Hagan

Serial No.: 09/857,465

Examiner: Brian J. Davis

Filed: June 5, 2001

Group Art Unit: 1621

Title: PROCESS FOR PREPARING CHIRAL COMPOUNDS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an AMENDMENT AND RESPONSE UNDER 37 CFR §1.111 in the captioned patent application.

- ☒ Small Entity status of this application under 37 C.F.R. 1.27 has been established by a verified statement previously submitted.
- ☐ A verified Statement to establish small entity status under 37 C.F.R. 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

Claims Remaining After Amendment	Present Extra	Small Entity	Total Fees Due
Total	20 - 20 = 0	x \$ 9	= \$ 0.00
Indep.	1 - 3 = 0	x \$ 43	= \$ 43.00

☐ First Presentation of Multiple Dependent Claims +140 = \$ \_\_\_\_\_ \$ \_\_\_\_\_  
Total Additional Fee

☐ Please charge Deposit Account No. 06-1358 in the amount of \$ \_\_\_\_\_. A duplicate of this sheet is attached.

☐ A credit card payment in the amount of \$ \_\_\_\_\_ is attached for:

The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

I.D.: P66645US0  
Date: August 5, 2004  
400 Seventh Street, N.W.  
Washington, D.C. 20004-2201

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By: 

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**PATENT**

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David O'HAGAN

Serial No. 09/857,465

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For: PROCESS FOR PREPARING CHIRAL COMPOUNDS

**AMENDMENT AND RESPONSE UNDER 37 C.F.R. §1.111**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In response to the outstanding Office Action mailed May 7, 2004 (Paper No. 9),  
please amend the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2  
of this paper.

**Remarks** begin on page 4 of this paper.